



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 13 December 2023

TIME: 3.00 pm

VENUE: Assembly Hall - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Cllr. Dave Robinson (Chair)
Cllr. Michael Roche (Vice-Chair)
Cllr. John Joseph Kelly
Cllr. Catie Page
Cllr. Dr. John Pugh
Cllr. Simon Shaw
Cllr. Tom Spring
Cllr. Carla Thomas
Cllr. Anne Thompson
Cllr. Veronica Webster
Rachel Oakes

Substitute

Cllr. Susan Bradshaw
Cllr. Paula Murphy
Cllr. Christine Howard
Cllr. Laura Lunn-Bates
Cllr. Iain Brodie - Browne
Cllr. Gareth Lloyd-Johnson
Cllr. Sean Halsall
Cllr. James Hansen
Cllr. Paula Spencer
Cllr. Judy Hardman

COMMITTEE OFFICER: Amy Dyson Democratic Services Officer
Telephone: 0151 934 2045
E-mail: amy.dyson@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

A G E N D A

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

3. Minutes (Pages 5 - 8)

Minutes of the meeting held on 27 September 2023

4. Corporate Risk Management (Pages 9 - 46)

Report of the Executive Director of Corporate Resources and Customer Services

5. Risk and Audit Service Performance (To Follow)

Report of the Executive Director of Corporate Resources and Customer Services

6. Follow up of Audit Agreed Actions (To Follow)

Report of the Executive Director of Corporate Resources and Customer Services

7. Financial Procedure Rules (Pages 47 - 118)

Report of the Executive Director of Corporate Resources and Customer Services

8. Information Governance and Compliance – internal guidance and policies (Pages 119 - 158)

Report of the Executive Director of Corporate Resources and Customer Services

- 9. Procurement – National Procurement Policy and Contract Procedure Rules** (Pages 159 - 222)

Report of the Executive Director of Corporate Resources and Customer Services

- 10. Treasury Management Position to September 2023** (Pages 223 - 234)

Report of the Executive Director of Corporate Resources and Customer Services

- 11. Quarterly Work Programme Update Report** (To Follow)

Report of the Executive Director of Corporate Resources and Customer Services